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File 347:JAPIO Oct 1976-2003/Jun(Updated 031006)
        '(c) 2003 JPO & JAPIO
File 350:Derwent WPIX 1963-2003/UD,UM &UP=200368
        (c) 2003  Thomson Derwent
File 371:French Patents 1961-2002/BOPI 200209
        (c) 2002 INPI.  All rts. reserv.
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Set	Items	Description
S1	318	(FINANCIAL OR ESTATE OR INVESTMENT)()(MANAGEMENT OR PLANNI-NG OR ARRANGEMENT? ? OR ADMINIST?) OR EMPLOYEE()BENEFIT? ?
S2	1241621	ASSET? ? OR SAVINGS OR DISBURS? OR PAYMENT? ? OR PROPERT??? OR RECEIPT? ? OR ANNUIT??? OR EARNINGS OR INCOME OR INTEREST OR RETURN? ? OR PROFIT? ? OR GAIN? ? OR RESOURCES OR CAPITAL - OR MONEY? ? OR MONIES OR DIVIDEND? ?
S3	103450	ASSIGN? ? OR ASSIGNMENT OR ASSIGNING OR ALLOCAT??? OR ALLO-T? OR REBALANC??? OR REALLOCAT??? OR APPORTION? OR REAPPORTIO-N?
S4	492625	ERISA OR EMPLOYEE()RETIREMENT()INCOME()SECURITY()ACT OR RE-GULATORY OR REGULATION? ? OR LEGAL()RESTRAINT? ? OR OVERSEE??? OR OVERSIGHT OR ADMINISTRATION OR GOVERN??? OR ENFORC? OR RE-STRICT??? OR LAW? ? OR REG OR REGS OR LEGISLATION
S5	508388	AVOID? OR CIRCUMVENT??? OR (KEEP??? OR STAY??? OR STEER???-)()(CLEAR OR IN()MIND) OR NEGATE? ? OR NEGATING OR NEGATION OR PROHIBIT??? OR ESCAPE OR MINDFUL
S6	16	(CONFLICT? ? OR CLASH?? OR DISHARMONY OR DISAGREE? OR INCO-MPATIB?)(3N)(INTEREST OR ETHIC?? OR MORAL?? OR DUTY OR DUTIES OR PRINCIPLE? ?)
S7	2511	S2(3N)S3
S8	3	S5(3W)S6
S9	0	S4(10N)S8
S10	0	S1(S)(S7(S)S9)
S11	1	S6 AND S7
S12	4	S5(10N)S6
S13	1	S7 AND S12
S14	12	S2 AND S6
S15	492628	S4 OR S8
S16	32040	S2 AND S15
S17	88	S7 AND S15
S18	23	S7(10N)S15
S19	35	S8 OR S11 OR S11 OR S12 OR S13 OR S14 OR S18
S20	244579	IC=G06F-017?
S21	13	S19 AND S20
S22	13	IDPAT (sorted in duplicate/non-duplicate order)
S23	13	IDPAT (primary/non-duplicate records only)

DIALOG(R)File 350:Derwent WPIX

015139775 **Image available**
WPI Acc No: 2003-200302/200319
XRPX Acc No: N03-159504
  Compliance and risk management method involves obtaining answers from
  user for assisting user in prioritization and *allocating* *resources* to
  comply with *law*, statute, *regulation*, industrial standard, policy
Patent Assignee: KRISHNAN K R (KRIS-I); REKHI S (REKH-I); STEINMILLER E
  (STEI-I)
Inventor: KRISHNAN K R; REKHI S; STEINMILLER E
Number of Countries: 001 Number of Patents: 001
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
US 20020184068	A1	20021205	US 2001871690	A	20010604	200319	B

Priority Applications (No Type Date): US 2001871690 A 20010604
Patent Details:

Patent No	Kind Lan Pg	Main IPC	Filing Notes
US 20020184068 A1	61	G06F-017/60	

... and risk management method involves obtaining answers from user for
  assisting user in prioritization and *allocating* *resources* to comply
  with *law*, statute, *regulation*, industrial standard, policy

Abstract (Basic):
...   information is determined in customization to user's industry
    for assisting user in prioritization and *allocating* user *resources*
    to comply with a *law*, a statute, a *regulation*, an industrial
    standard, a policy which is determined to be applicable, based on the
    user...
International Patent Class (Main): *G06F-017/60*

DIALOG(R)File 350:Derwent WPIX

014885753   **Image available**
WPI Acc No: 2002-706459/200276
XRPX Acc No: N02-557016
  On-line fund-raising event *administration* method for charitable
  organizations, involves *allocating* portion of *payment* received from
  user for auctioning goods or services not owned by fund-raising entity,
  to entity
Patent Assignee: FINEMAN J (FINE-I); LAWRENCE J (LAWR-I)
Inventor: FINEMAN J; LAWRENCE J
Number of Countries: 001 Number of Patents: 001
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
US 20020116215	A1	20020822	US 2001269437	A	20010216	200276	B
			US 200277192	A	20020215		

Priority Applications (No Type Date): US 2001269437 P 20010216; US
  200277192 A 20020215
Patent Details:

Patent No	Kind Lan Pg	Main IPC	Filing Notes
US 20020116215 A1	9	G06F-017/60	Provisional application US 2001269437

  On-line fund-raising event *administration* method for charitable
  organizations, involves *allocating* portion of *payment* received from
  user for auctioning goods or services not owned by fund-raising entity,
  to...
International Patent Class (Main): *G06F-017/60*

DIALOG(R)File 350:Derwent WPIX
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014871364   **Image available**
WPI Acc No: 2002-692070/200274
XRPX Acc No: N02-545966
  **Index selection method used for selecting securities for fixed income
  index has recursive sampling, covariance matrix, residual variances and
  stopping criteria**
Patent Assignee: MCINTYRE J P (MCIN-I); YANG L L (YANG-I); MORGAN STANLEY
  DEAN WITTER & CO (MORG-N)
Inventor: MCINTYRE J P; YANG L L
Number of Countries: 100 Number of Patents: 002
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
WO 200280435	A2	20021010	WO 2002US9061	A	20020322	200274	B
US 20020184126	A1	20021205	US 2001819304	A	20010328	200301	

Priority Applications (No Type Date): US 2001819304 A 20010328
Patent Details:
Patent No Kind Lan Pg   Main IPC   Filing Notes
WO 200280435 A2 E 25 H04L-000/00
    Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA
    CH CN CO CR CU CZ DE DK DM DZ EC EE ES FI GB GD GE GH GM HR HU ID IL IN
    IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ
    OM PH PL PT RO RU SD SE SG SI SK SL TJ TM TN TR TT TZ UA UG UZ VN YU ZA
    ZM ZW
    Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GH GM GR
    IE IT KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZM ZW
US 20020184126 A1   G06F-017/60

Abstract (Basic):
...   selecting securities for inclusion in a fixed income index and
    for portfolio construction, risk management, *capital* *allocation* or
    .*regulation*, index production and derivative securities applications
    ...
International Patent Class (Main): *G06F-017/60*...

DIALOG(R)File 350:Derwent WPIX
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014746391   **Image available**
WPI Acc No: 2002-567098/200260
XRPX Acc No: N02-448899
  **Automatic investor asset allocation system avoids *conflicts* of
  interest using *asset* *allocation* model and individual investor
  report generation**
Patent Assignee: GREENSTEIN M (GREE-I); TARBOX B C (TARB-I)
Inventor: GREENSTEIN M; TARBOX B C
Number of Countries: 026 Number of Patents: 002
Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week	
WO 200265369	A2	20020822	WO 2002US4031	A	20020211	200260	B
US 20020169701	A1	20021114	US 2001267771	A	20010209	200277	
			US 2002349459	A	20020114		
			US 2002349162	A	20020116		
			US 200273632	A	20020211		

Priority Applications (No Type Date): US 2002349162 P 20020116; US
    2001267771 P 20010209; US 2002349459 P 20020114; US 200273632 A 20020211

Patent Details:
Patent No   Kind Lan Pg   Main IPC   Filing Notes
WO 200269369 A2 E   53 G06F-017/60
    Designated States (National): AU BR CA JP KR NZ
    Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LU
    MC NL PT SE TR
US 20020169701 A1   G06F-017/60   Provisional application US 2001267771

                                        Provisional application US 2002349459
                                        Provisional application US 2002349162

   Automatic investor asset allocation system avoids *conflicts* of
   interest using *asset* *allocation* model and individual investor
   report generation

Abstract (Basic):
...   System uses a data processor to provide expert independent
   asset *allocation* to minimize investor time and offer *asset*
   management more efficiently while reducing *conflicts* of *interest*.
   An *asset* *allocation* model automatically determines investment
   vehicle proportions and data for individuals is processed to
   automatically calculate the optimum *asset* *allocation*.
...   An independent expert approves the *allocation* and *savings*
   rate and receives fees independent of those charged for investment
   management. The investment manager only...

...plan aggregate investments. There is an INDEPENDENT CLAIM for a
   computerized method of automatically providing *asset* *allocation*
   services...

...System separates benefit plan *allocation* and money management
   functions to *avoid* *conflicts* of *interest*.

...Title Terms: *INTEREST*;
International Patent Class (Main): *G06F-017/60*

23/AZ,TI/1   (Item 1 from

015139775
    Compliance and risk management method involves obtaining answers from
    user for assisting user in prioritization and *allocating* *resources* to
    comply with *law*, statute, *regulation*, industrial standard, policy

23/AZ,TI/2   (Item 2 from file: 350)

015138876
    Underwriting transaction executing system for financial instrument, has
    automated auction processor which maintains universal protocol for issuer
    and underwriter, for consummating underwriting transaction in real-time

23/AZ,TI/3   (Item 3 from file: 350)

014885753
    On-line fund-raising event *administration* method for charitable
    organizations, involves *allocating* portion of *payment* received from
    user for auctioning goods or services not owned by fund-raising entity,
    to entity

23/AZ,TI/4   (Item 4 from file: 350)

014871364
    Index selection method used for selecting securities for fixed income
    index has recursive sampling, covariance matrix, residual variances and
    stopping criteria

23/AZ,TI/5   (Item 5 from file: 350)

014746391
    Automatic investor asset allocation system avoids *conflicts* of
    interest using *asset* *allocation* model and individual investor
    report generation

23/AZ,TI/6   (Item 6 from file: 350)

014699003
    Business enterprise record monitoring method involves comparing
    identified item of information with item of information in related field

23/AZ,TI/7   (Item 7 from file: 350)

014318730
    Resource consumer structure for computer system using given computing
    hardware platform executing several of tasks has second resource consumer
    that may received given resources from first resource consumer for
    specialized processing

23/AZ,TI/8   (Item 8 from file: 350)

0141172642
  Liquidity preferred stock for paying service providers, advisors of
  company, indicates obligation that payor other than company pays the
  holder when predetermined event occurs

23/AZ,TI/9   (Item 9 from file: 350)

014162856
  Integrated medical computer system for use in hospitals, has knowledge
  module which performs knowledge processing functions on medical and
  patient information for generating solutions to medical problems

23/AZ,TI/10   (Item 10 from file: 350)

013966957
  Internet delivery of legal services involves providing accessible web
  site for users, searching database for suitable lawyer, sending query of
  user to chosen lawyer, and sending response to user through email

23/AZ,TI/11   (Item 11 from file: 350)

013868885
  Indenture plan allocation determination, management and reporting system
  for insurance and benefit funding, determines allocation and management
  information from received indenture plan and expense information

23/AZ,TI/12   (Item 12 from file: 350)

011591168
  Computer method of financial interest distribution and cognizable
  interest distribution based on pool of assets - involves compiling
  investor needs for CRA qualified assets, creating portfolios of assets
  that would be recognised by regulatory agencies as meeting CRA
  requirements and allocating CRA credits separately from financial return
  of portfolio

23/AZ,TI/13   (Item 13 from file: 347)

07557050
PURCHASE METHOD AND SYSTEM FOR PUBLIC LOTTERY, MUNICIPALLY OPERATED
GAMBLING AND SPORTS PROMOTION LOTTERY OR THE LIKE